# **DETAILED DESCRIPTION**

<u>608-1.1</u> This item shall consist of the application of a emulsified asphalt surface treatment composed of an emulsion of natural and refined asphalt materials, water and, if specified, a polymer additive, for taxiways and runways with the application of a suitable aggregate to maintain adequate surface friction; and airfield secondary and tertiary pavements including low-speed taxiways, shoulders, overruns, roads, parking areas, and other general applications with or without aggregate applied. Emulsified Asphalt Seal Coat products assist in pavement preservation through reducing the rate of pavement oxidation. The emulsified asphalt surface treatment shall be applied in accordance with these specifications, and as shown on the plans or as directed by the Engineer. The terms seal coat and sealer binder and asphalt material are interchangeable throughout this specification. The term emulsified asphalt means an emulsion of natural and refined asphalt materials.

<u>608-1.2</u> Quantities of materials per square yard (square meter). The approximate amounts of materials per square yard (square meter) for the asphalt surface treatment shall be as provided in the table for the treatment area(s) at the specified dilution rate(s) as noted on the plans. The actual application rates will vary within the range specified to suit field conditions and will be recommended by the manufacturer's representative and approved by the Engineer from the test area/sections evaluation.

Application Rate

DICATION NATE		
	Quantity of Emulsion gal/yd²	Quantity of Aggregate lb/yd²
<u>Dilution Rate</u>	(l/m²)	<u>(kg/m²)</u>
1:1	<u>0.10-0.15</u>	<u>0.20-0.50</u>
	<u>(0.45-0.68)</u>	<u>(0.11-0.27)</u>
2:1	0.08-0.15	0.20-0.20-0.50
	<u>(0.36-0.54)</u>	(0.11-0.27)

# **MATERIALS**

**608-2.1 Aggregate.** The aggregate material shall be a dry, clean, dust and dirt free, sound, durable, angular shaped manufactured specialty sand, such as that used as an abrasive, with a Mohs hardness of 6 to 8. The Contractor shall submit manufacturer's technical data and a manufacturer's certification indicating that the specialty sand meets the requirements of the specification to the Engineer prior to start of construction. The sand must be approved for use by the Engineer and shall meet the following gradation limits when tested in accordance with ASTM C136 and ASTM C117:

Aggregate Material Gradation Requirements

Sieve Designation (square openings)	Percentage by Weight Retained Sieves
No. 8 (2.38 mm)	<u>0</u>
No. 16 (1.19 mm)	<u>o-8</u>
<u>No. 20 (o.84 mm)</u>	<u>0-28</u>
<u>No. 30 (o.60 mm)</u>	<u> 20-50</u>
<u>No. 40 (0.42 mm)</u>	<u>10-55</u>
<u>No. 50 (0.30 mm)</u>	<u>0-30</u>
<u>No. 70 (0.21 mm)</u>	<u>0-5</u>
No. 100 (0.15 mm)	<u>0-2</u>
<u>No. 200 (0.07 mm)</u>	<u>0-2</u>

The Contractor shall provide a certification showing particle size analysis and properties of the material delivered for use on the project. The Contractor's certification may be subject to verification by testing the material delivered for use on the project.

**608-2.2 Asphalt material.** The Contractor shall furnish the vendor's certified test reports for the emulsified asphalt, in its concentrated form, to the Engineer, showing that the material meets the following properties: Concentrated Asphalt Material Properties

Properties	Specification	Limits
	ASTM D244	<u> 20 - 100 seconds</u>
Saybolt Furol Viscosity at 77°F		
(25°C)		
	ASTM D244	<u>57% minimum</u>
Residue by Distillation or		
<u>Evaporation</u>		
<u>Sieve Test</u>	ASTM D244	0.1% maximum
24-hour Stability	ASTM D244	1% maximum
	ASTM D244	5.0% maximum
5-day Settlement Test		
Particle Charge <sup>1</sup>	ASTM D244	<u>Positive</u>
		6.5 maximum pH

<sup>1</sup> pH may be used in lieu of the particle charge test which is sometimes inconclusive in slow setting, asphalt emulsions.

The asphalt material concentrate must be diluted with heated water prior to application. The asphalt material, when diluted in the volumetric proportion of two parts concentrated asphalt material to one part hot water shall have the following properties:

Two-to-One Dilution Emulsion Properties

TWO CO OTIC BIRACION EMIRISION		
Properties	Specification	Limits
In Ready-to-Apply Form, two	parts concentrate to	one part water, byolume
Saybolt Furol iscosity at 77°F	ASTM D244	<u> 10 - 50 seconds</u>
(25°C)		
	ASTM D244	38% minimum
Residue by Distillation or		
<u>Evaporation</u>		
Pumping Stability <sup>1</sup>		<u>Pass</u>

 $<sup>^1</sup>$  Pumping stability is tested by pumping one pint (475 ml) of seal coat diluted one (1) part concentrate to one (1) part water, at 77°F (25°C), through a 1/4-inch (6 mm) gear pump operating

1750 rpm for 10 minutes with no significant separation or coagulation.

The asphalt material base residue shall contain not less than 20% gilsonite, or uintaite and shall not contain any tall oil pitch or coal tar material. The material shall be compatible with asphaltic concrete, and have a 5-year minimum proven performance record at airports with similar climatic conditions. Curing time, under recommended application conditions, shall not exceed eight (8) hours.

Emulsion Residue by Distillation or Evaporation Tests

Emaison mesiade by bistimation of Evaporation 1935		
Properties	Specification	Limits
	ASTM D4402	<u>1750 cts maximum</u>
Viscosity at 275°F (135°C)		
Solubility in 1, 1, 1	ASTM D2042	97.5% minimum
<u>trichloroethylene</u>		
<u>Penetration</u>	ASTM D5	<u>50 dmm minimum</u>
<u>Asphaltenes</u>	ASTM D2007	15% minimum
<u>Saturates</u>	<u>ASTM D2007</u>	15% maximum
Polar Compounds	ASTM D2007	25% minimum
<u>Aromatics</u>	ASTM D2007	15% minimum

The Contractor shall furnish vendor's certified test reports showing that the material is the type, grade and quality specified for each load of asphalt material delivered to the project. The certification shall also show the shipment number, refinery, consignee, destination, contract number and date of shipment. The test reports and certification shall be delivered to the Engineer before permission is granted to use the material. The furnishing of the vendor's certified test report for the asphalt material shall not be interpreted as a basis for final acceptance. The manufacturer's material test report certification may be subject to verification by testing the material delivered for use on the project.

The asphalt material storage and handling temperature shall be between  $50^{\circ}F - 160^{\circ}F$  ( $10^{\circ}C - 70^{\circ}C$ ) and the material shall be protected from freezing, or whenever outside temperature drops below  $40^{\circ}F$  ( $4^{\circ}C$ ) for prolonged time periods.

**608-2.3 Water.** Water used in making the emulsion shall be potable, free from harmful soluble salts and chemicals, and at least 100°F ( $38^{\circ}$ C).

**608-2.4 Polymer.** The polymer shall be a vinyl acrylic polymer approved for use by the asphalt material manufacturer. The Contractor shall submit manufacturer's technical data, the manufacturer's certification indicating that the polymer meets the requirements of the specification, and the asphalt material manufacturer's approval of its use to the Engineer. The polymer must be approved for use by the Engineer and shall meet the following properties:

**Polymer Properties** 

Tolymer Troperates	
Properties	Limits
Solids Content	
	<u>54 to 57%,</u>
	Percent by Weight
<u>Weight</u>	
	8.9 to 9.8 pounds/gallon (1.07 to 1.17 kg/L)
<u>Ph</u>	<u>4.0 to 6.0</u>
<u>Particle Charge</u>	<u>Nonionic/Anionic</u>
Mechanical Stability	<u>Excellent</u>
	+5°C, minimum
Film Forming Temperature, °C	
<u>Tg,°C</u>	22°C, maximum

#### APPLICATION RATE

# 608-3.1 Material performance for runway and high-speed taxiway projects. The

Contractor shall submit to the Engineer friction tests, from previous airport projects which used the seal coat materials in a similar environment, in accordance with AC 150/5320-12, at 40 or 60 mph (65 or 95 km/h) wet, showing, as a minimum; friction value of pavement surface prior to sealant application; two values, tested between 24 and 96 hours after application, with a minimum of 24 hours between tests; and one value tested at no less than 180 days or greater than 360 days after the application. The results of the two tests between 24 and 96 hours shall indicate friction is increasing at a rate to obtain similar friction value of the pavement surface prior to application, and the long term test shall indicate no apparent adverse effect with time relative to friction values and existing pavement surface. The Contractor shall submit to the Engineer a list of airports which meet the above requirements, as well as technical details on application rates, aggregate rates, and point of contact at these airports to confirm use and success of sealer with aggregate. Friction tests shall be submitted from no less than one of the airports on the list and each set of tests described above, must be from one project.

Seal coat material submittal without required friction performance will not be approved. Friction tests performed on this project cannot be used as a substitute of this requirement.

**608-3.2 Test areas and test sections.** A qualified manufacturer's representative shall be present in the field to assist the Contractor in applying test areas and/or test sections to determine the optimum application rate of both emulsion and sand.

A test area and/or section shall be applied for each differing HMA pavement surface identified in the project. The test area(s) and/or test section(s) shall be used to determine the material application rate(s) of both emulsion and sand prior to full production. The same equipment and method of operation shall be utilized on the test area(s) and/or test section(s) as will be utilized on the remainder of the work.

- **a.** For taxiway, taxilane and apron surfaces. Prior to full application, the Contractor shall place test areas at varying application rates as specified by the manufacturer's representative and Engineer to determine appropriate application rate(s). The test areas will be located on representative section(s) of the pavement to receive the asphalt surface treatment designated by the Engineer.
- **b.** For runway and high speed exit taxiway surfaces. Prior to full application, the Contractor shall place a series of test sections a minimum of 300 feet (90 m) long by 12 feet (3.6 m) wide, or width of anticipated application, whichever is greater, at varying application rates as stipulated by the manufacturer's representative and Engineer to determine appropriate application rate(s). The area to be tested will be located on a representative section of the pavement to receive the asphalt surface treatment designated by the Engineer. Before beginning the test section(s), the skid resistance of the existing pavement shall be determined for each test section with a continuous friction measuring equipment (CFME). The skid resistance test after application shall be at approximately the same location as the test done on the existing pavement. The Contractor may begin testing the skid resistance of runway and high speed exit taxiway test sections after application of the asphalt surface treatment has fully cured. Aircraft shall not be permitted on the runway or high speed exit taxiway test sections for a minimum of 24 hours and until such time as the Contractor validates that its surface friction meets AC 150/5320-12. The results of the friction evaluation meet or exceed the Maintenance Planning levels provided in Table 3-2, "Friction Level Classification for Runway Pavement Surfaces," in AC 150/5320-12, Measurement, Construction, and Maintenance of Skid-resistant Airport Pavement Surfaces, when tested at speeds of 40 and 60 mph (65 and 95 km/h) wet with approved CFME.

If the test section should prove to be unsatisfactory, necessary adjustments to the application rate, placement operations, and equipment shall be made. Additional test sections shall be placed and additional skid resistance tests performed and evaluated. Full production shall not begin without the Engineer's approval of an appropriate application rate(s). Acceptable test sections shall be paid for in accordance with paragraph 608-8.1.

#### **CONSTRUCTION METHODS**

**608-4.1 Worker safety.** The seal coat product shall be handled with caution. The Contractor shall obtain a Material Safety Data Sheet (MSDS) for both the asphalt emulsion product and sand and require workmen to follow the manufacturer's recommended safety precautions.

**608-4.2 Weather limitations.** The asphalt emulsion shall be applied only when the existing pavement surface is dry and when the weather is not foggy, rainy, or when the wind velocity will prevent the uniform application of the material. No material shall be applied when dust or sand is blowing or when rain is anticipated within eight (8) hours of application completion. The atmospheric temperature and the pavement surface temperature shall both be above 60°F (16°C) and rising. During application, account for wind drift. Cover existing buildings, structures, runway edge lights, taxiway edge lights, informational signs, retro-reflective marking and inpavement duct markers as necessary to protect against overspray before applying the emulsion. Should emulsion get on any light or marker fixture, promptly clean the fixture. If cleaning is not satisfactory to the Engineer, the Contractor shall replace any light, sign or marker with equivalent equipment at no cost to the Owner.

**608-4.3 Equipment and tools.** The Contractor shall furnish all equipment, tools, and machinery necessary for the performance of the work.

**a. Pressure distributor.** The emulsion shall be applied with a manufacturer-approved computer rate-controlled asphalt distributor. The equipment shall be in good working order and contain no contaminants or diluents in the tank. Spreader bar tips must be clean, free of burrs, and of a size to maintain an even distribution of the emulsion. Any type of tip or pressure source is suitable that will maintain predetermined flow rates and constant pressure during the application process with application speeds under eight (8) miles per hour (13 km per hour) or seven (700) feet per minute (213 m per minute). Test the equipment under pressure for leaks and to ensure it is in good working order before use.

The distributor truck shall be equipped with a 12-foot (3.7-m), minimum, spreader bar with individual nozzle control. The distributor truck shall be capable of specific application rates in the range of 0.05 to 0.25 gallons per square yard (0.15 to 0.80 liters per square meter). These rates shall be computer-controlled rather than mechanical. The distributor truck shall have an easily accessible thermometer that constantly monitors the temperature of the emulsion, and have an operable mechanical tank gauge that can be used to cross-check the computer accuracy.

A distributor truck shall be provided, if necessary, equipped to effectively heat and mix the material to the required temperature prior to application. Heating and mixing shall be done in accordance with the manufacturer's recommendations. Care shall be taken not to overheat or over mix the material.

The distributor shall be equipped to hand spray the emulsion in areas identified either on the plans or by the Engineer.

- **b.** Aggregate spreader. The asphalt distributor truck will be equipped with an aggregate spreader mounted to the distributer truck that can apply sand to the emulsion in a single pass operation without driving through wet emulsion. The aggregate spreader shall be equipped with a variable control system capable of uniformly distributing the sand at the specified rate at varying application widths and speeds. The sander shall have a minimum hopper capacity of at least 3,000 pounds (1361 kg) of sand. Push-type hand sanders will be allowed for use around lights, signs and other obstructions.
- **C. Power broom/blower.** A power broom and/or blower shall be provided for removing loose material from the surface to be treated.
- **d.** Equipment calibration. The Contractor shall calibrate the equipment using either of the following procedures:
  - (1) First procedure. The Contractor shall furnish a State Calibration Certification for the emulsified asphalt distributor, from any state providing that service, or other acceptable agency certification approved by the Engineer, and the calibration date shall have been within six (6) months of the contract award, or up to 12 months if supporting documents substantiate continuous work using the same distributor.
  - (2) Second procedure. The Contractor shall furnish all equipment, materials and labor necessary to calibrate the emulsified asphalt distributor and the aggregate spreader. Perform all calibrations with the approved job materials and prior to applying the specified coatings to the prepared surface. Perform calibration of the emulsified asphalt distributor in accordance with ASTM D2995. Perform work to calibrate the tank and measuring devices of the distributor. Perform inspection and calibration at the beginning of the work and at least once a day during construction.
- **608-4.4 Preparation of asphalt pavement surfaces.** Clean pavement surface immediately prior to placing the seal coat by sweeping, flushing well with water leaving no standing water, or a combination of both, so that it is free of dust, dirt, grease, vegetation, oil or any type of objectionable surface film. Remove oil or grease that has not penetrated the asphalt pavement by scraping or by scrubbing with a detergent, then wash thoroughly with clean water. After cleaning, treat these areas with the oil spot primer. Any additional surface preparation, such as crack repair, shall be in accordance with paragraph 101-3.6.
  - **a. New asphalt pavement surfaces.** Allow new asphalt pavement surfaces to cure so that there is no concentration of oils on the surface. A period of at least 30 days at 70°F (21°C) daytime temperatures shall elapse between the placement of a hot mixed asphalt concrete surface course and the application of the surface treatment.

Perform a water-break-free test to confirm that the surface oils have degraded and dissipated. (Cast approximately one gallon (4 liters) of clean water out over the surface. The water should sheet out and wet the surface uniformly without crawling or showing oil rings.) If signs of crawling or oil rings are apparent on the pavement surface, additional time must be allowed for additional curing and retesting of the pavement surface prior to treatment.

**608-4.5 Emulsion mixing.** The application emulsion shall be obtained by blending asphalt material concentrate, water and polymer, if specified. Always add heated water to the asphalt material concentrate, never add asphalt material concentrate to heated water. Mix one part heated water to two parts asphalt material concentrate, by volume.

If polymer is required, add 1 % polymer, by volume, to the emulsion mix. If the polymer is added to the emulsion mix at the plant, submit weigh scale tickets to the Engineer. As an option, the polymer may be added to the emulsion mix at the job site provided the polymer is added slowly while the circulating pump is running. The mix must be agitated for a minimum of 15 minutes or until the polymer is mixed to the satisfaction of the Engineer.

**608-4.6 Application of asphalt emulsion.** The asphalt emulsion shall be applied using a pressure distributor upon the properly prepared, clean and dry surface at the application rate recommended by the manufacturer's representative and approved by the Engineer from the test area/sections evaluation for each designated treatment area. The asphalt emulsion should be applied at a temperature between 130°F (54°C) and 160°F (70°C) or in accordance with the manufacturer's recommendation.

Pavement surfaces which have excessive runoff of seal coat due to excessive amount of material being applied or excessive surface grade shall be treated in two or more applications to the specified application rate at no additional cost to the Owner. Each additional application shall be performed after the prior application of material has penetrated into the pavement.

If low spots and depressions greater than 1/2 inch (12 mm) in depth in the pavement surface cause ponding or puddling of the applied materials, the pavement surface shall be broomed with a broom drag. Brooming shall continue until the pavement surface is free of any pools of excess material. Ponding and/or puddling shall not cause excessive pavement softening and/or additional distress. The Engineer shall inspect and approve areas after brooming.

During all applications, the surfaces of adjacent structures shall be protected to prevent their being spattered or marred. Asphalt materials shall not be discharged into borrow pits or gutters or on the airport area.

**608-4.7 Application of aggregate material.** Immediately following the application of the asphalt emulsion or as directed by the Engineer, sand at the rate recommended by the manufacturer's representative and approved by the Engineer from the test area/sections evaluation for each designated application area, shall be spread uniformly over the asphalt emulsion. The aggregate shall be spread to the same width of application as the asphalt material and shall not be applied in such thickness as to cause blanketing.

Sprinkling of additional aggregate material, and spraying additional asphalt material over areas that show up having insufficient cover or bitumen, shall be done by hand whenever necessary. In areas where hand work is necessitated, the sand shall be applied before the sealant begins to break.

Sanding shall be performed to prevent excessive amounts of sand from accumulating on the pavement prior to the emulsion being applied. The Contractor shall clean areas with excess or loose sand and dispose of off airport property.

# **QUALITY CONTROL**

**608-5.1 Manufacturer's representation.** The manufacturer's representative shall have knowledge of the material, procedures, and equipment described in the specification and shall be responsible for determining the application rates and shall oversee the preparation and application of the seal coat product. Documentation of the manufacturer representative's experience and knowledge for applying the seal coat product shall be furnished to the Engineer a minimum of 10 work days prior to placement of the test sections. The cost of the manufacturer's representative shall be included in the bid price.

**608-5.2 Contractor qualifications.** The Contractor shall provide the Engineer Contractor qualifications for applicators, personnel and equipment. The Contractor shall also provide, from the seal coat Manufacturer, documentation that the Contractor is certified to apply the seal coat and to have made at least three (3) applications similar to this project in the past two (2) years.

#### **MATERIAL ACCEPTANCE**

**608-6.1 Friction tests.** Friction tests in accordance with AC 150/5320-12, Measurement, Construction, and Maintenance of Skid-Resistant Airport Pavement Surfaces, shall be accomplished on all runway and high-speed taxiways that have received a seal coat. The Contractor shall coordinate testing with the Engineer. Each test includes performing friction tests at 40 mph and 60 mph (65 or 95 km/h) both wet, 15 feet (4.5 m) to each side of runway centerline. Friction test shall be run within 30 days prior to application of the seal coat to runway and/or high-speed taxiways and after application of the seal coat. The Engineer shall be present for testing. The Contractor shall provide a written report of friction test results.

#### METHOD OF MEASUREMENT

**608-7.1 Asphalt surface treatment.** The quantity of asphalt surface treatment shall be measured by the square yards [ square meters ] of material applied in accordance with the plans and specifications and accepted by the Engineer. The Contractor must furnish the Engineer with the certified weigh bills when materials are received for the asphalt material used under this contract. The Contractor must not remove material from the tank car or storage tank until initial amounts and temperature measurements have been verified.

# **BASIS OF PAYMENT**

**608-8.1 Payment** shall be made at the contract unit price per square yard for the asphalt surface treatment applied and accepted by the Engineer, and the contract unit price per lump sum for runway friction testing. This price shall be full compensation for all surface preparation, furnishing all materials, delivery and application of these materials, for all labor, equipment, tools, and incidentals necessary to complete the item, including the friction testing and all work required to meet AC 150/5320-12, and any costs associated with furnishing a qualified manufacturer's representative to assist with test strips.

NYS PREVAILING WAGE: All Pricing must be based on prevailing wage laws of NYS per PRC #2022002214.

Item P-608 Seal Coat - per square yard

# **MATERIAL REQUIREMENTS**

ASTM C<sub>117</sub> Standard Test Method for Materials Finer than 75-pm (No. 200) Sieve in Mineral

Aggregates by Washing

ASTM C136 Standard Test Method for Sieve Analysis of Fine and Coarse Aggregates

ASTM D5 ASTM Standard Test Method for Penetration of Bituminous Materials

D244 ASTM Standard Test Methods and Practices for Emulsified Asphalts

D2007 Standard Test Method for Characteristic Groups in Rubber Extender and Processing

Oils and Other Petroleum-Derived Oils by the Clay- Gel Absorption Chromatographic

Method

ASTM D2042 Standard Test Method for Solubility of Asphalt Materials in Trichloroethylene

ASTM D2995 Standard Practice for Estimating Application Rate of Bituminous Distributors

ASTM D4402 Standard Test Method for Viscosity Determination of Asphalt at Elevated

Temperatures Using a Rotational Viscometer

ASTM D5340 Standard Test Method for Airport Pavement Condition Index Surveys

AC 150/5320-12 Measurement, Construction, and Maintenance of Skid-Resistant Airport Pavement

Surfaces

AC 150/5320-17 AC Airfield Pavement Surface Evaluation and Rating (PASER) Manuals

150/5380-6 Guidelines and Procedures for Maintenance

#### **CONTRACT PRICE ADJUSTMENTS**

All prices submitted must remain firm for a period of one hundred twenty (120) days from the date of award. Beginning September 1, 2023 subsequent price adjustments will be considered by the County. All requests for price adjustments must be submitted to the County a minimum of thirty (30) days prior to the date of the requested price adjustment. The change in price structure (increase or decrease) must be based on fluctuations in the latest published Consumer Price Index (CPI) for all urban consumers, as published by the U.S. Department of Labor, Bureau of Labor Statistics which is available at <a href="https://stats.bls.gov">https://stats.bls.gov</a>.

If, during the Contract term, the awarded vendor is unable to meet contractual requirements in whole or in part based on the price structure of the contract, it shall immediately notify the County in writing. The County may, but is not required to, consider an adjustment in the contract terms and/or pricing. Should the County in its sole discretion determine during the Contract term that the contract price structure results in prices which are unreasonable, excessive, or not truly reflective of current market conditions, and no adjustment in the contract terms and/or pricing is mutually agreeable, the County may terminate the contract through written notice to the vendor, purchase from an alternate source, or rebid the contract.

# **BID FORM**

	Seal Coat to Specifications	\$ _/ Square Yard
Company Na	me:	 

Attached Bid Checklist, Signature/Non-Collusive Page, and Iran Notice must be signed to complete the bid.

#### **BID CHECKLIST**

The documents required to complete this bid are indicated below.

	(X)	Insurance Certificates	See Exhibit A, pages F-G
	(X)	Iran Notice	<u>See Pages 460 – 46p</u>
_		We have reviewed and have submitted the items Requirements.	required under the Mandatory Submission
-		The Bid Page(s), Signature/Non-Collusive Page, a	nd Iran Notice are completed and included.
-		Deviations are noted and explained.	
-		Insurance Certificates are enclosed if required.	

# WAIVER OF IMMUNITY/NON-COLLUSIVE BIDDING CERTIFICATE PURSUANT TO SECTION 103-D OF THE NEW YORK STATE GENERAL MUNICIPAL LAW

- A. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid, each party thereto certifies as to its own organizations, under penalty of perjury, that to the best of knowledge and belief:
  - 1. The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor.
  - 2. Unless otherwise required by law, the prices which have been quoted in this bid have not knowingly been disclosed by the bidder and will not knowingly be disclosed by the bidder, directly or indirectly, prior to opening, to any bidder or to any competitor.
  - 3. No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.

A bid shall not be considered for award nor shall any award be made where 1,2, and 3 above have not been complied with; provided, however, that in any case the bidder cannot make to foregoing certification, the bidder shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons thereof. Where 1, 2, and 3 above have not been complied with, the bid shall not be considered for any award nor shall any award be made unless the head of the Purchasing Unit to the political subdivision, public department, agency or official thereof to which the bid is made, or his designee, determines that such disclosure was not made for the purpose of restricting competition.

The fact that a bidder (a) has published price lists, rates, or tariffs covering items being procured, (b) has informed prospective customer of proposed or pending publications of new or revised price lists for such items, or (c) has sold the same items to other customers at the same prices being bid, does not constitute, without more, a disclosure within the meaning of paragraph "A" above.

B. Any bid hereafter made to any political subdivision of the state or any public department, agency or official thereof by a corporate bidder for work or services performed or to be performed or goods sold or to be sold, where competitive bidding is required by statute, rule, regulation, local law, and where such bid contains the certification referred to in paragraph "A" of this section, shall be deemed to have been authorized by the Board of Directors of the bidder, and such authorization shall be deemed to include the submission of the bid and the inclusion therein of the certificate as to non-collusion as the act and deed of the corporation.

SIGNATURE PAGE ON REVERSE MUST BE SIGNED TO COMPLETE THE BID.

# **SIGNATURE PAGE**

The undersigned proposes to furnish products or services to specifications included in this bid document, at the price(s) set forth, as required to meet the County's needs. Any deviation to the specifications must be noted on the bid form and fully explained or the deviations will not be considered part of the bid. Deviations: Yes By signing below I am certifying that I fully understand the complete requirements of the bid and agree to the non-collusive certification on the reverse of this page and additional documents as specified on the bid checklist. Bidder hereby acknowledges receipt of all addenda pertaining to this bid which are made part of the original bid specifications. COMPANY NAME ADDRESS (Principal Office) CITY, STATE, ZIP CODE \_\_\_\_\_AREA CODE/TELEPHONE NUMBER \_\_\_\_FAX NUMBER AUTHORIZED SIGNATURE PRINTED NAME TITLE DATE ADDRESS (Local Office) CITY, STATE, ZIP CODE AREA CODE/TELEPHONE NUMBER \_\_\_\_\_FAX NUMBER \_\_\_\_\_E-MAIL ADDRESS Check One: Corporation \_\_\_\_\_\_ Partnership \_\_\_\_\_ Individual Incorporated under the laws of the State of \_\_\_\_\_ Federal Identification # State if authorized to do business in the State of New York: Yes \_\_\_\_\_ No Names and Addresses of Partners:

46n

# **Iranian Energy Sector Divestment**

Contractor hereby represents that said Contractor is in compliance with New York State General Municipal Law Section 103-g entitled "Iranian Energy Sector Divestment", in that said Contractor has not:

- a. Provided goods and services of \$20 Million or more in the energy sector of Iran including but not limited to the provision of oil or liquefied natural gas tankers or products used to construct or maintain pipelines used to transport oil or liquefied natural gas for the energy sector of Iran; or
- b. Acted as a financial institution and extended \$20 Million or more in credit to another person for forty-five days or more, if that person's intent was to use the credit to provide goods or services in the energy sector in Iran.

Any Contractor who has undertaken any of the above and is identified on a list created pursuant to Section 165-a (3)(b) of the New York State Finance Law as a person engaging in investment activities in Iran, shall not be deemed a responsible Bidder pursuant to Section 103 of the New York State General Municipal Law.

Except as otherwise specifically provided herein, every Contractor submitting a bid in response to this Request for Bids must certify and affirm the following under penalties of perjury:

a. "By submission of this bid, each Bidder and each person signing on behalf of any Bidder certifies, and in the case of a joint bid, each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief, that each Bidder is not on the list created pursuant to NYS Finance Law Section 165-a (3) (b)."

Jefferson County will accept this statement electronically in accordance with the provisions of Section 103 of the General Municipal Law.

Except as otherwise specifically provided herein, any Bid that is submitted without having complied with subdivision (a) above, shall not be considered for award. In any case where the Bidder cannot make the certification as set forth in subdivision (a) above, the Bidder shall so state and shall furnish with the bid a signed statement setting forth in detail the reasons therefore. The County reserves its rights, in accordance with General Municipal Law Section 103-g to award the Bid to any Bidder who cannot make certification, on a case-by-case basis under the following circumstances.

- 1. The investment activities in Iran were made before April 12, 2012, the investment activities in Iran have not been expanded or renewed after April 12, 2012, and the Bidder has adopted, publicized and is implementing a formal plan to cease the investment activities in Iran and to refrain from engaging in any new investments in Iran; or
- 2. The County of Jefferson has made a determination that the goods or services are necessary for the County to perform its functions and that, absent such an exemption, the County of Jefferson would be unable to obtain the goods or services for which the Bid is offered. Such a determination shall be made by the County in writing and shall be a public document.

# Attachment Certification Pursuant to Section 103-g Of the New York State General Municipal Law

- A. By submission of this bid, each Bidder and each person signing on behalf of any Bidder certifies, and in the case of a joint bid, each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each Bidder is not on the list created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the New York State Finance Law.
- B. A Bid shall not be considered for award, nor shall any award be made where the condition set forth in Paragraph A above has not been complied with; provided, however, that in any case the Bidder cannot make the foregoing certification set forth in Paragraph A above, the Bidder shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons therefore. Where Paragraph A above cannot be complied with, the Purchasing Unit to the political subdivision, public department, agency or official thereof to whom the bid is made, or his designee, may award a bid, on a case by case basis under the following circumstances:
  - 1. The investment activities in Iran were made before April 12, 2012, the investment activities in Iran have not been expanded or renewed after April 12, 2012, and the Bidder has adopted, publicized and is implementing a formal plan to cease the investment activities in Iran and to refrain from engaging in any new investments in Iran; or
  - 2. The political subdivision makes a determination that the goods or services are necessary for the political subdivision to perform its functions and that, absent such an exemption, the political subdivision would be unable to obtain the goods and services for which the contract is offered. Such a determination shall be made in writing and shall be a public document.

	Signature
	Title
 Date	Company Name